FORM NLRB-501 (3-21)

### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

**CHARGE AGAINST EMPLOYER** 

DO NOT WRITE IN THIS SPACE		
<sup>Case</sup>	Date Filed	
18-CA-305076	October 11, 2022	

#### INSTRUCTIONS:

File an original with NLRB Regional Director for the region in	which the alleged unfair labor practice occurred or is occurri OYER AGAINST WHOM CHARGE IS BROUGHT	ng.
a. Name of Employer	OTERNOAINST WHOMOTAROE IS BROOGHT	b. Tel. No.
Amazon.com Services LLC	(855) 579-1766	
7411a2511.56111 55111555 225		c. Cell No.
		6. 66
		f. Fax. No.
1.4.1. (0) (1.3.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1	a Employer Depresentative	_
d. Address (Street, city, state, and ZIP code) 2601 4th Ave E	e. Employer Representative	g. e-mail
	MLPS1	
MNI Challanga FF270	WLF31	
MN Shakopee 55379		h. Number of workers employed
		2500
i. Type of Establishment (factory, mine, wholesaler, etc.)		
Others	Various products and services	
The above-named employer has engaged in and is engaged		
(list subsections) 3,1		Relations Act, and these unfair labor
practices are practices affecting commerce within the me	aning of the Act, or these unfair labor practices are practi	ces affecting commerce within the
meaning of the Act and the Postal Reorganization Act.		-4:
2. Basis of the Charge (set forth a clear and concise state	ement of the facts constituting the alleged unfair labor pra-	ctices)
See additional page		
(b) (6), (b) (7)(C) party filing charge (if labor organization, g	ive full name, including local name and number)	
Amazon Labor Union	Sociotally Housuldi	
4a. Address (Street and number, city, state, and ZIP code	e)	4b. Tel. No.
		(b) (6), (b) (7)(C)
900 South Avenue Suite 100	4c. Cell No.	
NY Staten Island 10314		
		4d. Fax No.
		4e. e-mail
		@amazoniaborunion.org
5. Full name of national or international labor organizatio	n of which it is an affiliate or constituent unit (to be filled in v	vhen charge is filed by a labor organization)
6. DECL	ARATION	Tel. No.
	ve charge and that the statements	(646) 460-1309
	ny knowledge and belief.	Office, if any, Cell No.
San Golfon	Seth Goldstein	
(signature of rangeantative or parean making charge)	Attorney (Print/type name and title or office, if any)	Fax No.
(signature of representative or person making charge)	(Filliotype hame and title of office, if any)	
900 South Avenue Suite 100		e-mail
Address Staten Island NY 10314	Date 10/08/2022 03:06:35 PM	sgoldstein@amazonlaborunion.org

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information may cause the NLRB to decline to invoke its processes.

### **Basis of the Charge**

### 8(a)(3)

Within the previous six months, the Employer disciplined or retaliated against an employee(s) because the employee(s) joined or

supported a labor organization and in order to discourage union activities and/or membership.

Name of employee disciplined/retaliated against	Type of discipline/retaliation	Approximate date of discipline/retaliation
(b) (6), (b) (7)(C)	Penalized with loss of unpaid time	<sup>©)(©, ©)(7</sup> /2022

### 8(a)(1)

Within the previous six months, the Employer disciplined or retaliated against an employee(s) because the employee(s) engaged in protected concerted activities by, inter alia, discussing wages, hours, or other terms and conditions of employment and in order to

discourage employees from engaging in protected concerted activities.

Name of employee disciplined/retaliated against	Type of discipline/retaliation	Approximate date of discipline/retaliation
(b) (6), (b) (7)(C)	Penalized w. loss of UPT which can result in disch	<sup>⊚)(©, ©)</sup> √2022



# UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Agency Website: www.nlrb.gov
Telephone: (612)348-1757

NLRB

Mobile App

REGION 18 Federal Office Building 212 Third Avenue South, Suite 200 Minneapolis, MN 55401-2657

October 13, 2022

Fax: (612)348-1785

(b) (6), (b) (7)(C)

Amazon Labor Union 900 South Avenue, Suite 100 Staten Island, NY 10314

Re: Amazon.com Services LLC Case 18-CA-305076

Dear (b) (6), (b) (7)(C)

The charge that you filed in this case on October 11, 2022 has been docketed as case number 18-CA-305076. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Attorney DAVID J. STOLZBERG whose telephone number is (763) 270-7057. If this Board agent is not available, you may contact Deputy Regional Attorney PERCY J. COURSEAULT, III whose telephone number is (414) 930-7195.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you

fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

Due to the nature of the allegations in the enclosed unfair labor practice charge, we have identified this case as one in which injunctive relief pursuant to Section 10(j) of the Act may be appropriate. Therefore, in addition to investigating the merits of the unfair labor practice allegations, the Board agent will also inquire into those factors relevant to making a determination as to whether or not 10(j) injunctive relief is appropriate in this case. Accordingly, please include your position on the appropriateness of Section 10(j) relief when you submit your evidence relevant to the investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. To ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

<u>Controlled Unclassified Information (CUI)</u>: This National Labor Relations Board (NLRB) proceeding may contain Controlled Unclassified Information (CUI). Subsequent information in this proceeding may also constitute CUI. National Archives and Records Administration (NARA) regulations at 32 CFR Part 2002 apply to all executive branch agencies that designate or handle information that meets the standards for CUI.

\* \* \*

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

JENNIFER A. HADSALL

Jennels Hadrall

Regional Director

Enclosure: Copy of charge

cc: Seth Goldstein, Attorney Amazon Labor Union

900 South Avenue, Suite 100 Staten Island, NY 10314-3426



# UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 18 Federal Office Building 212 Third Avenue South, Suite 200 Minneapolis, MN 55401-2657

Agency Website: www.nlrb.gov Telephone: (612)348-1757 Fax: (612)348-1785 Download NLRB Mobile App

October 13, 2022

Amazon.com Services LLC 2601 4th Ave E Shakopee, MN 55379

Re: Amazon.com Services LLC Case 18-CA-305076

Dear Sir or Madam:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Attorney DAVID J. STOLZBERG whose telephone number is (763) 270-7057. If this Board agent is not available, you may contact Deputy Regional Attorney PERCY J. COURSEAULT, III whose telephone number is (414) 930-7195.

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If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly. Due to the nature of the allegations in the enclosed unfair labor practice charge, we have identified this case as one in which injunctive relief pursuant to Section 10(j) of the Act may be appropriate. Therefore, in addition to investigating the merits of the unfair labor practice allegations, the Board agent will also inquire into those factors relevant

to making a determination as to whether or not 10(j) injunctive relief is appropriate in this case. Accordingly, please include your position on the appropriateness of Section 10(j) relief when you submit your evidence relevant to the investigation.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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\* \* \*

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

JENNIFER A. HADSALL Regional Director

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### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

FORM NLRB-5081 (3.11)	NATIONAL 1	LABOR RELATI	ONS BOARD				
QUESTIONNAIRE ON COMMERCE INFORMATION							
Please read carefully, answer all applicable items, and re	turn to the NLRB	Office. If additiona	l space is required, p	olease add a pa	ge and identify item numbe	er.	
CASE NAME					CASE NUMBER		
	1.1.0				18-CA-305076		
1. EXACT LEGAL TITLE OF ENTITY (As filed v	vith State and/or	stated in legal do	cuments forming e	entity)			
2. TYPE OF ENTITY							
	1 PARTNERSH	ID [] SOLED	ROPRIETORSHIP	[ ] OTH	ER (Specify )		
3. IF A CORPORATION or LLC	] TAKTIVEKSII	n [] SOLL I	ROTRETORSIM	[] 01111	ER (Specify)		
A. STATE OF INCORPORATION	B. NAME, AI	DDRESS, AND R	ELATIONSHIP (e.g	g. parent, subsid	diary) OF ALL RELATEI	DENTITIES	
OR FORMATION							
4. IF AN LLC OR ANY TYPE OF PARTNERSHI	IP, FULL NAME	E AND ADDRESS	OF ALL MEMBI	ERS OR PAR	TNERS		
5. IF A SOLE PROPRIETORSHIP, FULL NAME	AND ADDRES	S OF PROPRIET	FOR				
b. Hillsoll Morallist States, 1 e. E. Marie		or morale					
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A. TRINCIPAL LOCATION.		/B. BRANCH	LOCATIONS.				
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## SIGNATURE

TITLE

12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE

NAME

NAME AND TITLE (Type or Print)

PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations
Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71
Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause
the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

E-MAIL ADDRESS

E-MAIL ADDRESS

TEL. NUMBER

DATE

## UNITED STATES OF AMERICA

## **BEFORE THE NATIONAL LABOR RELATIONS BOARD**

AMAZON.COM SERVICES LLC	
Charged Party	
and	Case 18-CA-305076
AMAZON LABOR UNION	
Charging Party	
AFFIDAVIT OF SERVICE OF CHARGE AGA	INST EMPLOYER
I, the undersigned employee of the National Labor I October 13, 2022, I served the above-entitled documents following persons, addressed to them at the following	nent(s) by post-paid regular mail upon the
Amazon.com Services LLC	
2601 4th Ave E	
Shakopee, MN 55379	
ATTN: MLPS1	
October 13, 2022	Carol Collins, Designated Agent of NLRB
Date	Name
	lal Camal Calling
	/s/ Carol Collins Signature
	Signature

### NATIONAL LABOR RELATIONS BOARD

### NOTICE OF APPEARANCE

Amazon.com Services LLC	
and Amazon Labor Union	CASE 18-CA-305076
X REGIONAL DIRECTOR  EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL  NATIONAL LABOR RELATIONS BOARD  Washington, DC 20570
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATE Amazon.com Services LLC	IVE OF
IN THE ABOVE-CAPTIONED MATTER.	
CHECK THE APPROPRIATE BOX(ES) BELOW:  REPRESENTATIVE IS AN ATTORNEY  IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY VIDOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMEN CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS VILL RECEIVE ONLY COPIES OF CERTAIN
(REPRESENTATIVE INFOR	MATION)
Brian Stolzenbach	
MAILING ADDRESS: Seyfarth Shaw LLP, 233 South Wacker Drive	ve, Suite 8000, Chicago, IL 60606
E-MAIL ADDRESS: bstolzenbach@seyfarth.com	
OFFICE TELEPHONE NUMBER: 312-460-5000	
CELL PHONE NUMBER:	<sub>FAX:</sub> 312-460-7000
SIGNATURE: /s/ Brian Stolzenbach (Please sign in ink.) 10-20-2022	

 $<sup>^{\</sup>rm 1}$  IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

### NATIONAL LABOR RELATIONS BOARD

### NOTICE OF APPEARANCE

AMAZON.COM SERVICES LLC	
and	CASE 18-CA-305076
(b) (6), (b) (7)(C)	
REGIONAL DIRECTOR  EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATI	IVE OF
AMAZON.COM SERVICES LLC	
IN THE ABOVE-CAPTIONED MATTER.	
CHECK THE APPROPRIATE BOX(ES) BELOW:	
REPRESENTATIVE IS AN ATTORNEY	
☐ IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY V DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENT CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS VILL RECEIVE ONLY COPIES OF CERTAIN
(REPRESENTATIVE INFORM	MATION)
HARRISON C. KUNTZ / SEYFARTH SHAW LLP	
MAILING ADDRESS: 233 S. WACKER DRIVE, SUITE 8000, CHI	CAGO IL 60606
E-MAIL ADDRESS: HKUNTZ@SEYFARTH.COM	
E-MAIL ADDRESS: HKUNTZ@SEYFARTH.COM  OFFICE TELEPHONE NUMBER: 312-460-5000	
CELL PHONE NUMBER:	FAX:
OTCV (TYPE	
SIGNATURE:  (Please sign in ink.)  DATE: October 28, 2022	
DATE.	_

 $<sup>^1</sup>$  IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.